

Tree-structured decoding with doubly-recurrent neural networks

David Alvarez-Melis & Tommi S. Jaakkola
 MIT CSAIL
 {davidam,tommi}@csail.mit.edu

Summary

We propose a novel neural network architecture specifically tailored to tree-structured decoding, which:

- maintains separate depth and width recurrent states and combines them to obtain hidden states for every node in the tree.
- has a mechanism to predict tree topology explicitly (as opposed to implicitly by adding nodes with special tokens).

Our experiments show that this architecture

- is capable of recovering trees from encoded representations
- achieves state-of-the-art performance in a task consisting of mapping sentences to simple functional programs
- exhibits desirable invariance properties over sequential architectures

Background and Motivation

Why tree-structured?

- RNNs are a natural model for sequential data
- But many types of data are non-sequential, e.g.
 - natural language sentences or associated parse trees
 - programs, executable queries, etc
- Even sentences, which can be modeled as if they were linear sequences, have an underlying compositional process.

Previous work

Current neural architectures for non-sequential data usually assume:

- the full tree structure is given (e.g. [5, 6]), or
- at least the nodes are known (e.g. [1, 3])

In case (a), the network aggregates the node information in a manner that is coherent with a given tree structure. In case (b), generation is reduced to an attachment problem, i.e., sequentially deciding which pairs of nodes to join with an edge until a tree is formed.

Full **decoding with structure** is much less explored. Models so far remained relatively close to their sequential counterparts, e.g. using alternating RNNs coupled with external classifiers to predict branching [7] and introducing special tokens [2] to signal stopping.

Two downsides to using special tokens to control topology are:

- tree growth (up to $O(n)$ padding nodes in an n -node tree)
- single stopping token selected competitively with other tokens

Challenges of tree-structured decoding

As opposed to seq-to-seq, **encoding and decoding are intrinsically asymmetrical**. Decoding requires multiple design choices:

- In which order should the tree be generated?
- What information should each node receive? Parent, sibling(s), etc.
- How to terminate generation?

Our approach

Grow tree root-to-leaves, encode parent-to-child and sibling-to-sibling information in separate recurrent states and model topological (stopping) decisions explicitly with a dedicated module

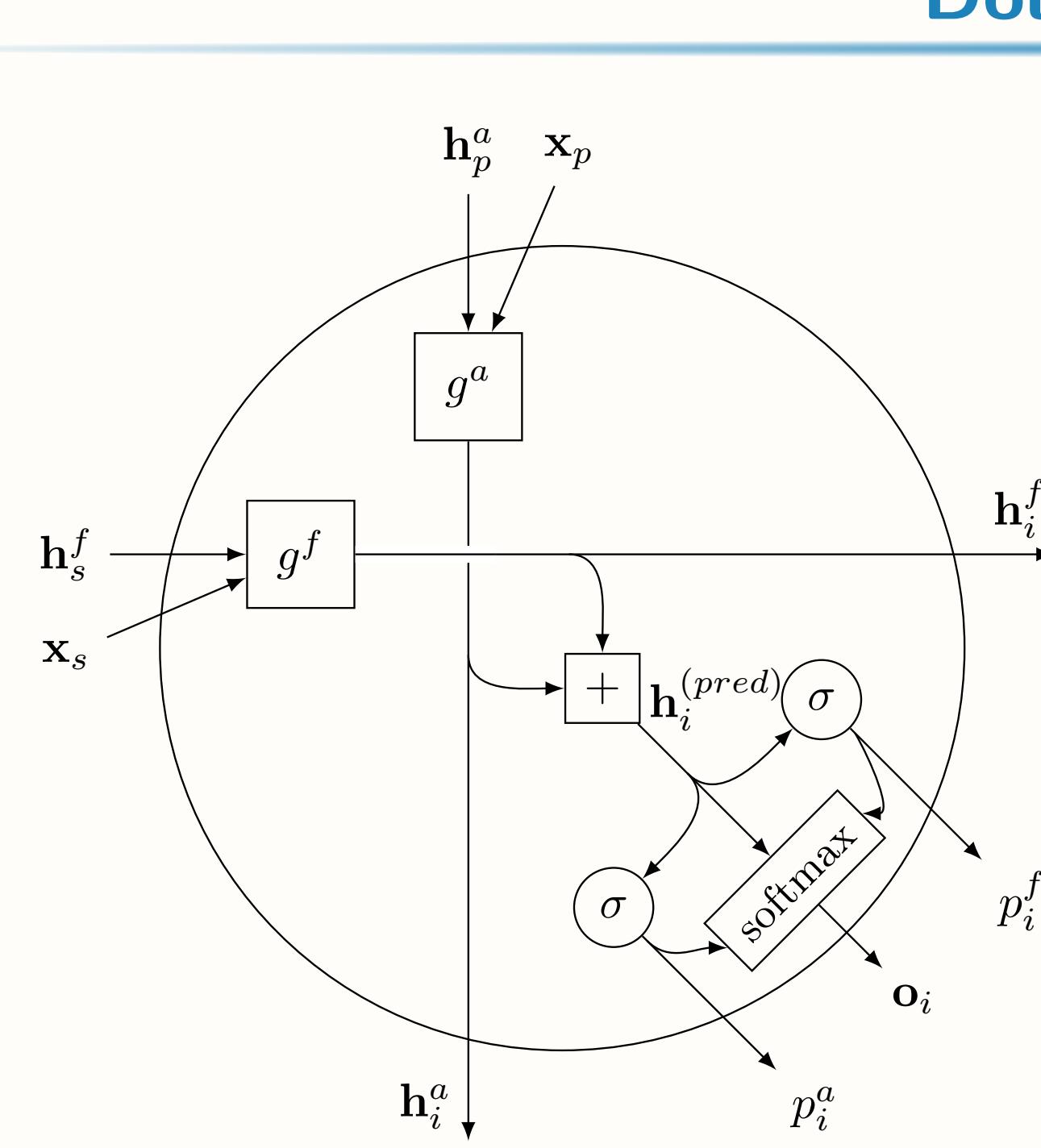


Figure 1: Left: A cell in the DRNN corresponding to node i with parent p and sibling s . Right: Structure-unrolled DRNN network in an encoder-decoder setting. Solid (dashed) lines indicate ancestral (fraternal) connections. Crossed arrows indicate production halted by the topology modules.

Cell recurrent states

$$\begin{aligned} h_i^a &= g^a(h_{p(i)}^a, x_{p(i)}) && \text{(ancestral, depth state)} \\ h_i^f &= g^f(h_{s(i)}^f, x_{s(i)}) && \text{(fraternal, width state)} \end{aligned}$$

These are combined to obtain a *predictive hidden state*:

$$h_i^{(pred)} = \tanh(W^f h_i^f + W^a h_i^a)$$

Training DRNNs

- With (reverse) **back-propagation through structure** (BPTS)
- Forward pass: top-down, on the structure-unrolled network
- Backward pass: bottom-up, feeding into every node gradients from children and sibling, computing internally gradients with respect to both topology and label prediction.
- Two loss terms: label and topology prediction

Topological Prediction

Instead of using stopping tokens, our model makes **topological decisions explicitly**, by computing:

$$p_i^a = \sigma(u^a \cdot h_i^{(pred)})$$

where $p_i^a \in [0, 1]$ is interpreted as the probability that node i has children. Analogously, the probability of stopping *fraternal* growth:

$$p_i^f = \sigma(u^f \cdot h_i^{(pred)})$$

Topological decisions $\alpha_i, \varphi_i \in \{0, 1\}$ are included for label prediction:

$$o_i = \text{softmax}(W h_i^{(pred)} + \alpha_i v^a + \varphi_i v^f)$$

In practice, during training, we perform **teacher forcing**, replacing topological predictions p_i^a, p_i^f for true values (α_i, φ_i) after computing loss and before computing o_i .

Experiments

Synthetic tree recovery

Task: Recovering tree structure from flattened (string) representations

Dataset: 5000 trees labeled with letters A-Z. We generate trees in a top-down fashion, conditioning every node's label and topology on the state of its ancestors and siblings.

Model: A DRNN as decoder, paired with a (sequential) RNN as encoder.

Evaluation: To give partial credit to correct substructures, we use an IR approach to evaluation, measuring F1-score of node and edge recovery.

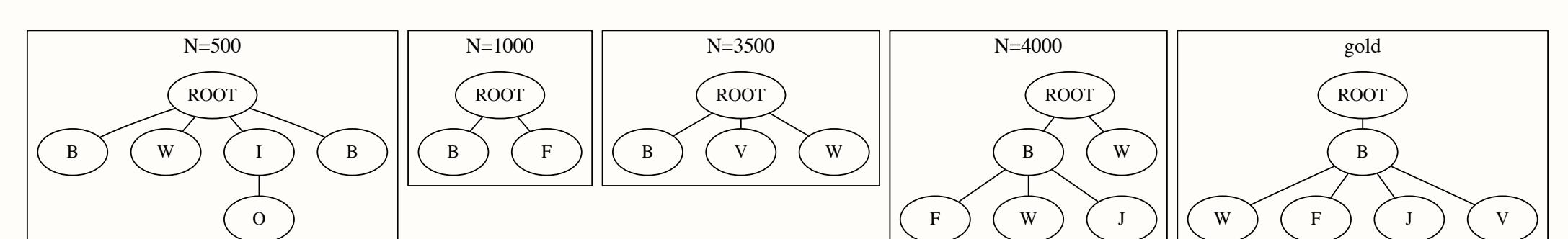


Figure 2: Trees generated from input string "ROOT B W F J V".

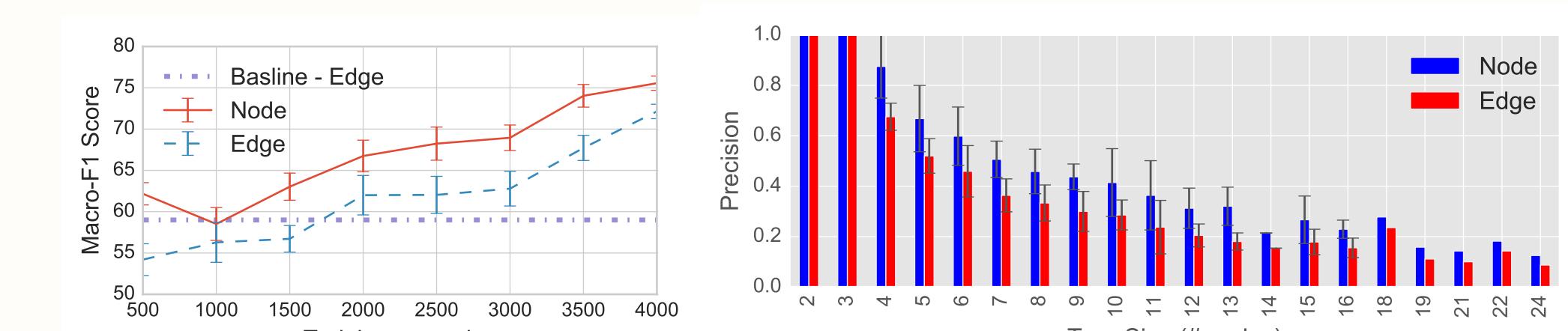


Figure 3: Left: Av. F1-Score vs. training data. Right: Node/edge precision vs. tree size.

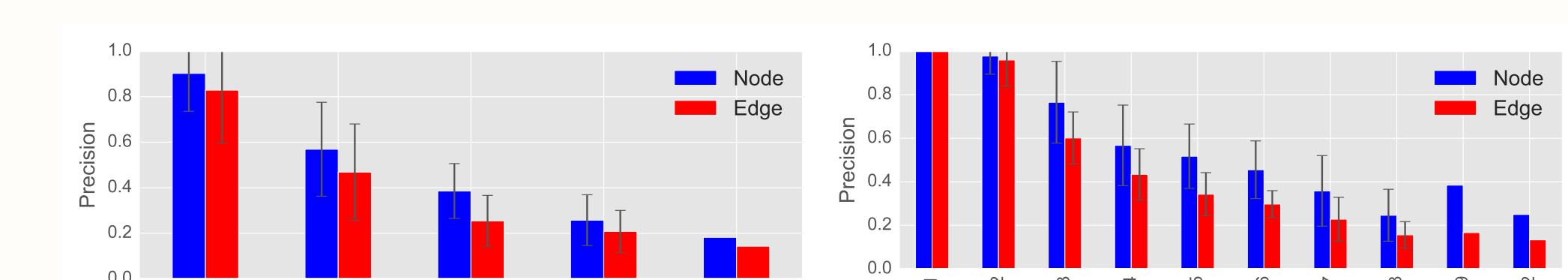


Figure 4: Node/edge precision vs. tree depth (left) and width (right).

Selected References

- [1] D. Chen and C. D. Manning. A Fast and Accurate Dependency Parser using Neural Networks. *Proc. 2014 Conf. Empir. Methods Nat. Lang. Process.*, ()740–750, 2014.
- [2] L. Dong and M. Lapata. Language to Logical Form with Neural Attention. In *ACL*, pages 33–43, 2016.
- [3] E. Kiperwasser and Y. Goldberg. Easy-First Dependency Parsing with Hierarchical Tree LSTMs. *TACL*, 2016.
- [4] C. Quirk, R. Mooney, and M. Galley. Language to Code: Learning Semantic Parsers for If-This-Then-That Recipes. *ACL-IJCNLP*, July 879–888, 2015.
- [5] R. Socher, B. Hwang, and C. D. Manning. Improved Semantic Representations From Tree-Structured Long Short-Term Memory Networks. In *ACL-IJCNLP*, pages 1556–1566, 2015.
- [6] K. S. Tai, R. Socher, and C. D. Manning. Improved Semantic Representations From Tree-Structured Long Short-Term Memory Networks. In *NAAACL-HLT-2016*, pages 310–320, 2016.
- [7] X. Zhang, L. Lu, and M. Lapata. Top-down Tree Long Short-Term Memory Networks. In *NAAACL-HLT-2016*, pages 310–320, 2016.

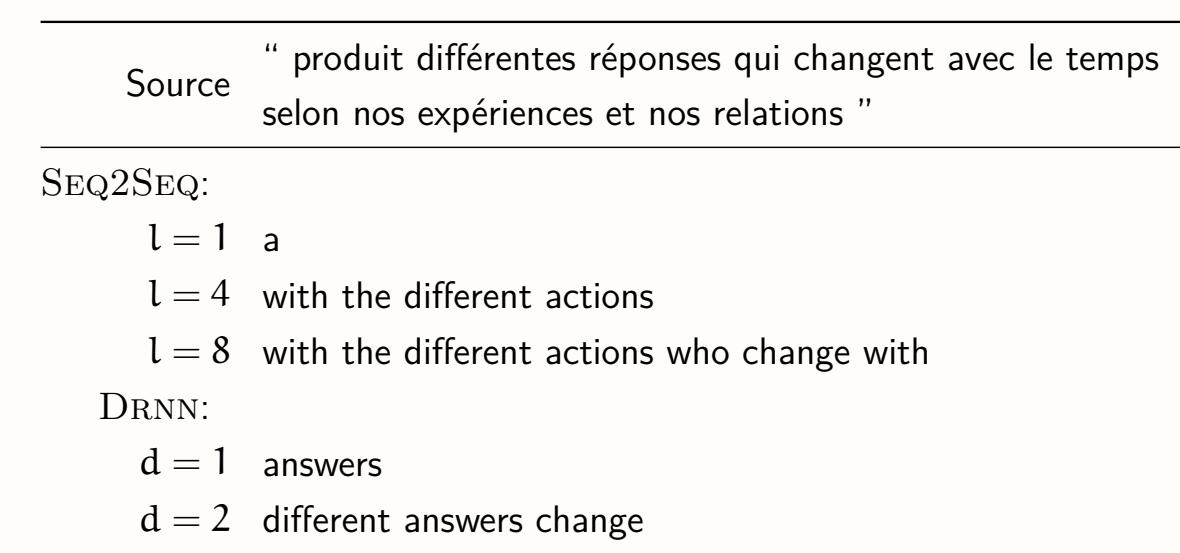


Figure 5: Example from the IFTTT dataset: description and program.

Dataset: IFTTT [4], consisting of simple programs (*recipes*) paired with descriptions of their purpose. User-generated and extremely noisy.

Model: RNN encoder and a DRNN decoder.

Evaluation: Accuracy in channel & function + F1-score of pred. tree

| Method | Channel | +Func | F1 |
|------------|-------------|-------------|-------------|
| retrieval | 36.8 | 25.4 | 49.0 |
| classifier | 64.8 | 47.2 | 56.5 |
| posclass | 67.2 | 50.4 | 57.7 |
| SEQ2SEQ | 68.8 | 50.5 | 60.3 |
| SEQ2TREE | 69.6 | 51.4 | 60.4 |
| GRU-DRNN | 70.1 | 51.2 | 62.7 |
| LSTM-DRNN | 74.9 | 54.3 | 65.2 |
| LSTM-DRNN | 89.9 | 77.6 | 74.1 |
| LSTM-DRNN | 90.1 | 78.2 | 77.4 |

Table 1: Results on the IFTTT task. Left: non-English/unintelligible removed, Right: at least 3+ humans agree with gold (758 recipes).

Machine Translation

Can decoding with structure bring benefits to a task traditionally approached as a sequence-to-sequence problem, such as MT?

Training data: 50K En \leftrightarrow Fr sentences from the WMT14 dataset.

Models:

- DRNN: L/R children distinction, paired w/ LSTM encoder
- SEQ2SEQ: LSTM units, roughly same # of params as DRNN

Evaluation:

- Invariance to structural perturbations in output, measuring Δ in LL
- Quality of translations at different resolutions (max target "size")

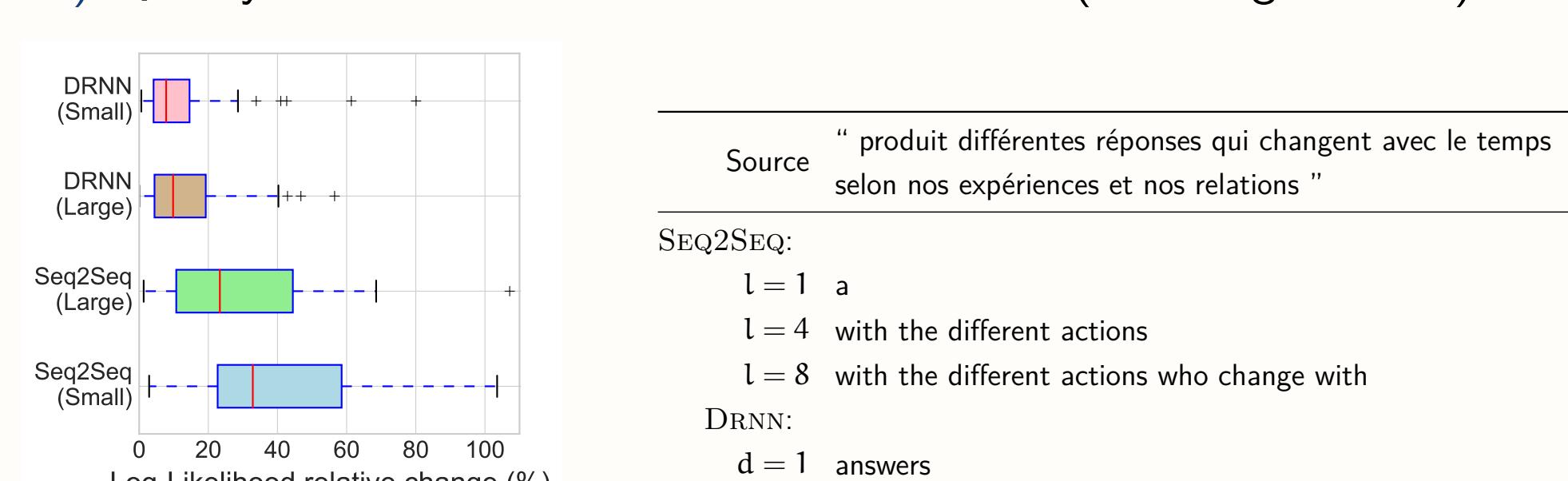


Figure 6: LLH change w/ target perturbation.

Table 2: Translations at different resolutions.